



## IATF - International Automotive Task Force

# IATF 16949:2016 – Sanctioned Interpretations

IATF 16949 1<sup>st</sup> Edition was published in October 2016 and was effective 1 January 2017. The following Sanctioned Interpretations were determined and approved by the IATF. Unless otherwise indicated, Sanctioned Interpretations are applicable upon publication.

Revised text is shown in **blue**.

A Sanctioned Interpretation changes the interpretation of a rule or a requirement which itself then becomes the basis for a nonconformity.

SI 1-9 issued in October 2017, effective October 2017.

**SI 10-11 issued in April 2018, effective June 2018.**



NUMBER	IATF 16949 REFERENCE	SANCTIONED INTERPRETATION
1	3.1 Terms and definitions for the automotive industry	<p><b>customer requirements</b></p> <p>all requirements specified by the customer (e.g., technical, commercial, product and manufacturing process-related requirements, general terms and conditions, customer-specific requirements, etc.)</p> <p><b>Where the audited organization is a vehicle manufacturer, vehicle manufacturer subsidiary, or joint venture with a vehicle manufacturer, the relevant customer is specified by the vehicle manufacturer, their subsidiaries, or joint ventures.</b></p> <p><i><b>Rationale for change:</b></i></p> <p><i>Customer requirements are developed by vehicle manufacturers for application in their supply chain by the nature of the product realization process. Therefore, where the vehicle manufacturers are being certified, the vehicle manufactures define how customer approvals and/or input are managed.</i></p>
2	4.4.1.2 Product safety	<p>The organization shall have documented processes for the management of product-safety related products and manufacturing processes, which shall include but not be limited to the following, where applicable:</p> <p>a) – m) (...)</p> <p>NOTE: Special approval <b>of safety related requirements or documents may be required by the customer or the organization’s internal processes. is an additional approval by the function (typically the customer) that is responsible to approve such documents with safety-related content.</b></p> <p><i><b>Rationale for change:</b></i></p> <p><i>Clarify any confusion related to special approval review for safety related requirements or documents.</i></p>

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3	6.1.2.3 Contingency plans	<p>The organization shall:</p> <ul style="list-style-type: none"> <li>a) – b) (...)</li> <li>b) prepare contingency plans for continuity of supply in the event of any of the following: key equipment failures (also see Section 8.5.6.1.1); interruption from externally provided products, processes, and services; recurring natural disasters; fire; utility interruptions; <b>cyber-attacks on information technology systems</b>; labour shortages; or infrastructure disruptions;</li> </ul> <p><b>Rationale for change:</b></p> <p><i>Organizations need to address the possibility of a cyber-attack that could disable the organization's manufacturing and logistics operations, including ransom-ware. Organizations need to ensure they are prepared in case of a cyber-attack.</i></p>

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4	7.2.3 Internal auditor competency	<p>The organization shall have a documented process(es) to verify that internal auditors are competent, taking into account any <b>requirements defined by the organization and/or</b> customer-specific requirements. For additional guidance on auditor competencies, refer to ISO 19011. The organization shall maintain a list of qualified internal auditors.</p> <p>Quality management system auditors, <del>manufacturing process auditors, and product auditors</del> shall <b>all</b> be able to demonstrate the following minimum competencies:</p> <ul style="list-style-type: none"> <li>a) understanding of the automotive process approach for auditing, including risk-based thinking;</li> <li>b) understanding of applicable customer-specific requirements;</li> <li>c) understanding of applicable ISO 9001 and IATF 16949 requirements related to the scope of the audit;</li> <li>d) understanding of applicable core tool requirements related to the scope of the audit;</li> <li>e) understanding how to plan, conduct, report, and close out audit findings.</li> </ul> <p><b>Additionally, At a minimum,</b> manufacturing process auditors shall demonstrate technical understanding of the relevant manufacturing process(es) to be audited, including process risk analysis (such as PFMEA) and control plan.</p> <p><b>At a minimum,</b> product auditors shall demonstrate competence in understanding product requirements and use of relevant measuring and test equipment to verify product conformity. <del>Where training is provided</del> <b>If the organization's personnel provide the training</b> to achieve competency, documented information shall be retained to demonstrate the trainer's competency with the above requirements.</p> <p><b>Rationale for change:</b></p>



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		<i>Distinguish competency requirements for quality management system auditors, manufacturing process auditors, and product auditors. Clarified the trainer competency expectations for internally provided training.</i>
5	7.5.1.1 Quality management system documentation	<p>The quality manual shall include, at a minimum, the following:</p> <ul style="list-style-type: none"> <li>a) the scope of the quality management system, including details of and justification for any exclusions;</li> <li>b) documented processes established for the quality management system, or reference to them;</li> <li>c) the organization's processes and their sequence and interactions (inputs and outputs), including type and extent of control of any outsourced processes;</li> <li>d) a document (<b>i.e., matrix for example, a table, a list, or a matrix</b>) indicating where within the organization's quality management system their customer-specific requirements are addressed.</li> </ul> <p><b>Rationale for change:</b></p> <p><i>Some CBs and organizations wanted clarification that a matrix was not a mandatory document. A matrix is just one of multiple methods that are acceptable. The format used is up to the organization.</i></p>

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6	8.3.3.3 Special characteristics	<p>The organization shall use a multidisciplinary approach to establish, document, and implement its process(es) to identify special characteristics, including those determined by the customer and the risk analysis performed by the organization, and shall include the following:</p> <ul style="list-style-type: none"> <li>a) documentation of all special characteristics in the <b>product and/or manufacturing documents drawings</b> (as required), <b>relevant</b> risk analysis (such as <b>Process FMEA</b>), control plans, and standard work/operator instructions; special characteristics are identified with specific markings and are <b><del>cascaded through each of these documents</del>; documented in the manufacturing documents which show the creation of, or the controls required, for these special characteristics</b>;</li> </ul> <p><b>Rationale for change:</b>  <i>Clarifies the documentation of special characteristics in the product and/or manufacturing drawings.</i></p>
7	8.4.2.1 Type and extent of control - supplemental	<p>The organization shall have a documented process to identify outsourced processes and to select the types and extent of controls used to verify conformity of externally provided products, processes, and services to internal (organizational) and external customer requirements.</p> <p>The process shall include the criteria and actions to escalate or reduce the types and extent of controls and development activities based on supplier performance and assessment of product, material, or service risks.</p> <p><b>Where characteristics or components “pass through” the organization’s quality management system without validation or controls, the organization shall ensure that the appropriate controls are in place at the point of manufacture.</b></p> <p><b>Rationale for change:</b>  <i>Clarify the organization’s responsibilities for pass through characteristics.</i></p>

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8	8.4.2.3 Supplier quality management system development	<p>The organization shall require their suppliers of automotive products and services to develop, implement, and improve a quality management system <b>(QMS) with the ultimate objective of becoming certified to this Automotive QMS Standard.</b></p> <p><b>Using a risk-based model, the organization shall define a minimum acceptable level of QMS development and a target QMS development level for each supplier.</b></p> <p><del>certified to ISO 9001, unless otherwise</del> <b>Unless otherwise</b> authorized by the customer <del>[e.g., item a) below], a QMS certified to ISO 9001 is the initial minimum acceptable level of development. Based on current performance and the potential risk to the customer, the objective is to move suppliers through the following QMS development progression: with the ultimate objective of becoming certified to this Automotive QMS Standard. Unless otherwise specified by the customer, the following sequence should be applied to achieve this requirement:</del></p> <ul style="list-style-type: none"> <li>a) <del>compliance to ISO 9001 through second-party audits;</del></li> <li>b) certification to ISO 9001 through third-party audits; unless otherwise specified by the customer, suppliers to the organization shall demonstrate conformity to ISO 9001 by maintaining a third-party certification issued by a certification body bearing the accreditation mark of a recognized IAF MLA (International Accreditation Forum Multilateral Recognition Arrangement) member and where the accreditation body's main scope includes management system certification to ISO/IEC 17021;</li> <li>c) certification to ISO 9001 with compliance to other customer-defined QMS requirements (such as Minimum Automotive Quality Management System Requirements for Sub-Tier Suppliers [MAQMSR] or equivalent) through second-party audits;</li> <li>d) certification to ISO 9001 with compliance to IATF 16949 through second-party audits;</li> </ul>

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		<p>e) certification to <b>IATF</b> 16949 through third-party audits (valid third-party certification of the supplier to IATF 16949 by an IATF-recognized certification body).</p> <p><b>NOTE: The minimum acceptable level of QMS development may be compliance to ISO 9001 through second-party audits, if authorized by the customer.</b></p> <p><b>Rationale for change:</b>  <i>Clarified the expected supplier quality management system development progression. This approach supports the “Risk Based Thinking” concept emphasized throughout Section 8.4 of the standard.</i></p>
<p style="text-align: center;"><b>9</b></p>	<p style="text-align: center;"><b>8.7.1.1                      Customer authorization for concession</b></p>	<p>The organization shall obtain a customer concession or deviation permit prior to further processing whenever the product or manufacturing process is different from that which is currently approved.</p> <p>The organization shall obtain customer authorization prior to further processing for “use as is” and <b>rework for repair (see 8.7.1.5) dispositions</b> of nonconforming product. If sub-components are reused in the manufacturing process, that sub-component reuse shall be clearly communicated to the customer in the concession or deviation permit.</p> <p><b>Rationale for change:</b>  <i>Clarify requirements and eliminate contradiction in relation to customer approval associated with rework.</i></p>



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10	7.1.5.3.2. External laboratory	<p>External/commercial/independent laboratory facilities used for inspection, test, or calibration services by the organization shall have a defined laboratory scope that includes the capability to perform the required inspection, test, or calibration, and either:</p> <ul style="list-style-type: none"> <li>— the laboratory shall be accredited to ISO/IEC 17025 <b>by an accreditation body (Signatory) of the ILAC MRA (International Laboratory Accreditation Forum Mutual Recognition Arrangement – <a href="http://www.ilac.org">www.ilac.org</a>)</b> or national equivalent and include the relevant inspection, test, or calibration service in the scope of the accreditation (certificate); the certificate of calibration or test report shall include the mark of a national accreditation body; or</li> <li>— there shall be evidence that the external laboratory is acceptable to the customer.</li> </ul> <p><b>Rationale for change:</b>  <i>Some organizations found the lab accreditation requirements for external/commercial/independent laboratory facilities used for inspection, test, or calibration services confusing and needed clarification. Clarified lab accreditation requirements and expectations.</i></p>

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11	<p style="text-align: center;"><b>8.5.6.1.1</b>  <b>Temporary change of process controls</b></p>	<p>The organization shall identify, document, and maintain a list of the process controls, including inspection, measuring, test, and error-proofing devices, <del>that includes the primary process control and the approved back-up or alternate methods.</del> <b>The list of process controls shall include the primary process controls and the approved back-up or alternate methods, if back-up or alternate methods exist.</b></p> <p><b><i>Rationale for change:</i></b>  <i>Clarified that not every primary process control has a back-up or alternate method. Clarified that if a back-up or alternate method exists, that those back-up or alternate methods are included on a list maintained by the organization. It is not a requirement to have an alternative process control for every primary control.</i></p>